



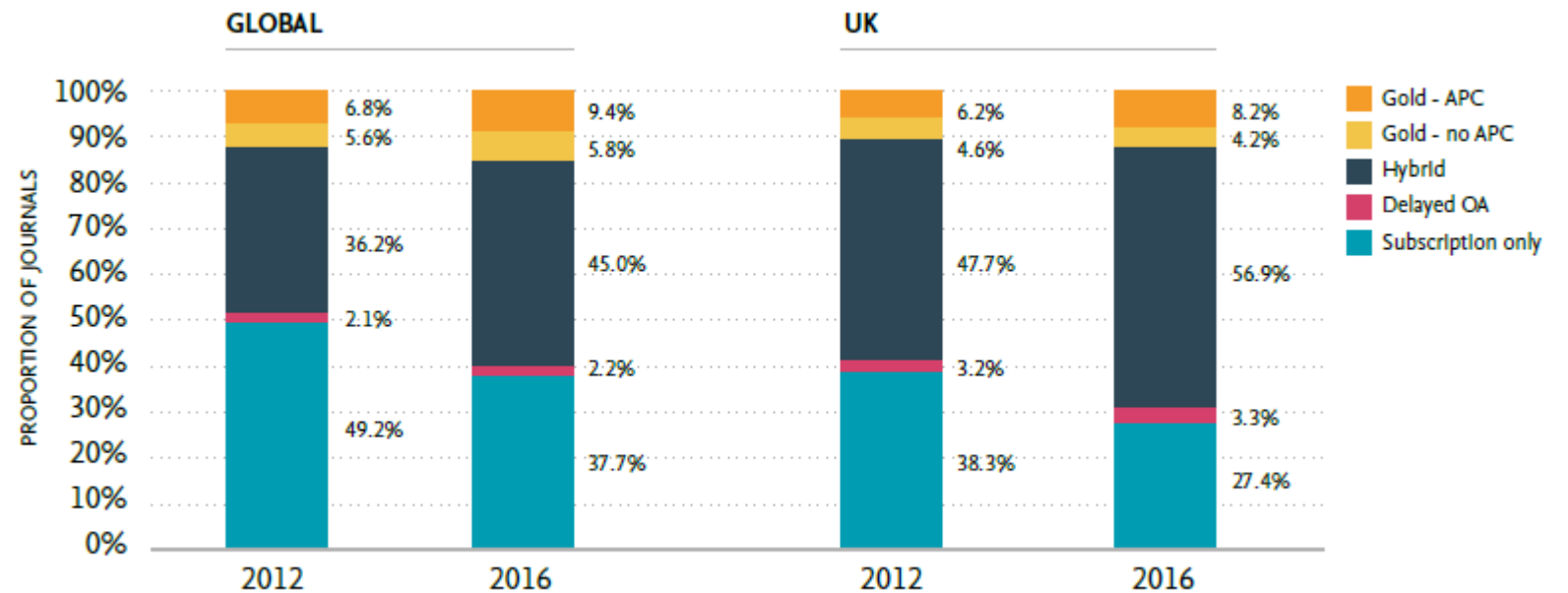
Best practice in Open Access publishing

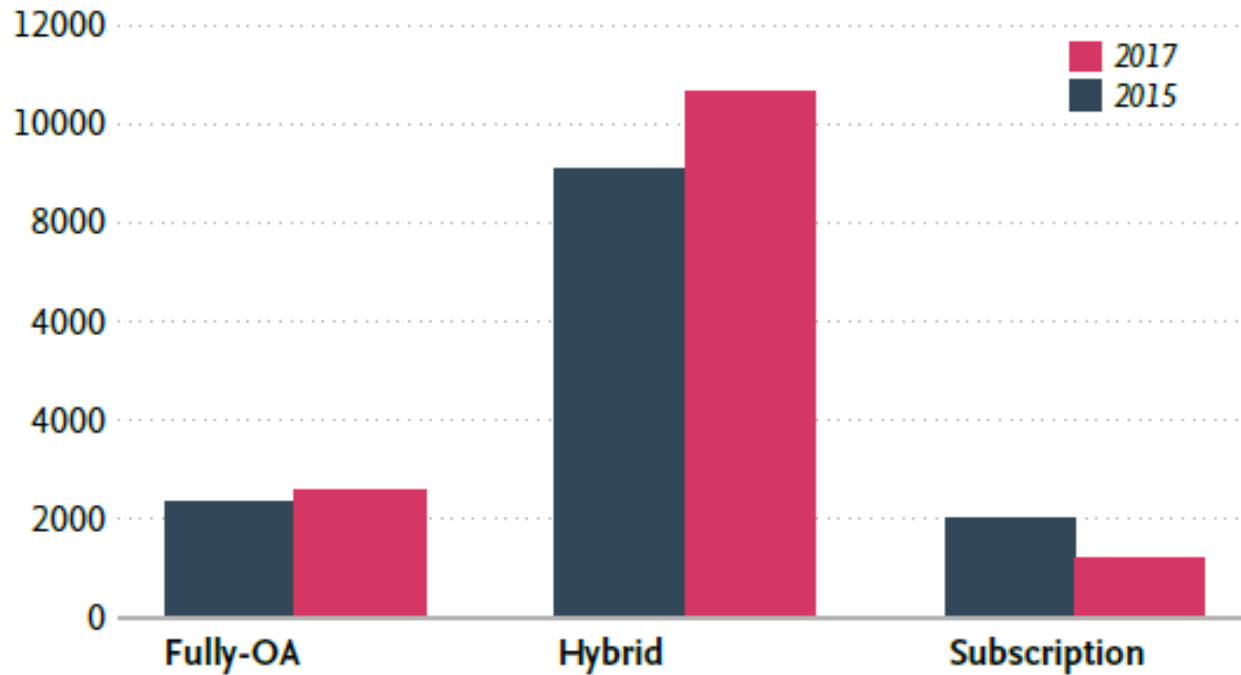
Claire Redhead
Executive Director
Open Access Scholarly Publishers Association



Global trends in open access publishing

- Proportion of journals offering immediate open access is rising
- 60% of journals offer immediate OA

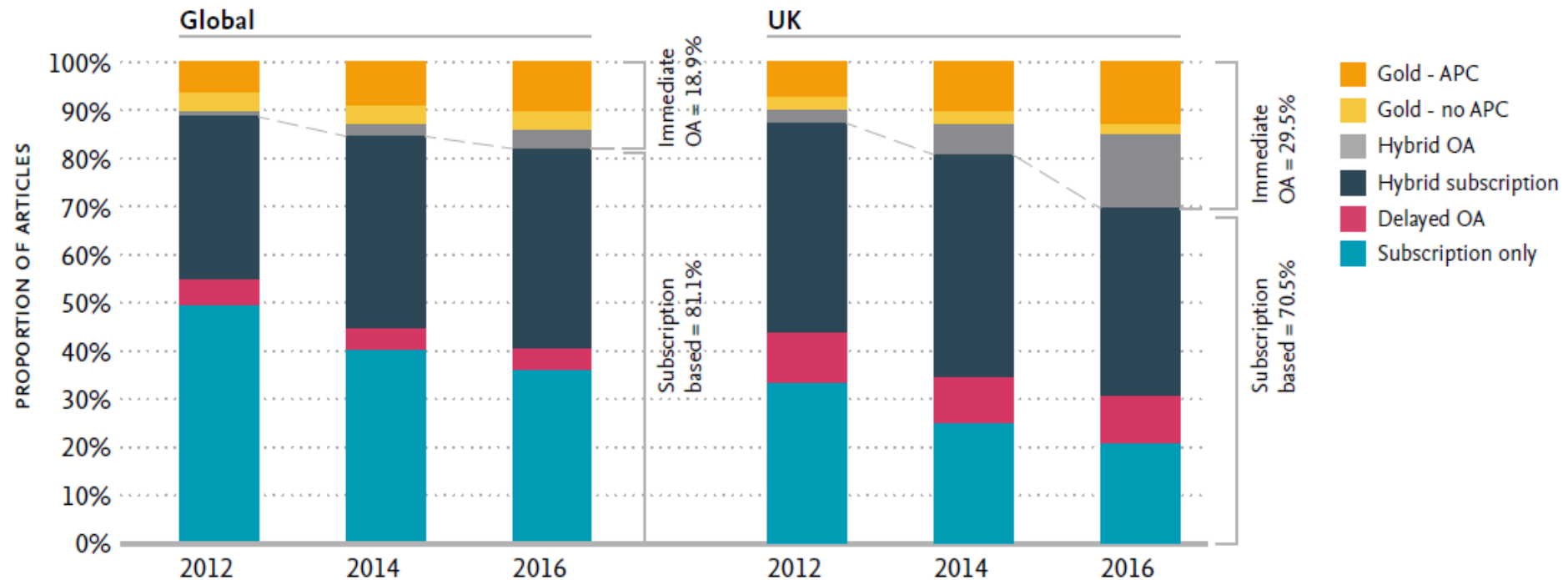




- **The number of hybrid journals has been rising faster than fully OA**
- **Here, journal publishing models of 40 publishers are compared for 2015 vs. 2017**
- **APCs for hybrid journals are on average 28% higher than for fully OA**



- Number of journal articles accessible immediately on publication has risen globally
- BUT 81% of journal content is still published within the subscription based system



Source: UUK Report 'Monitoring the Transition to Open Access', December 2017

CASPA

F1000Research
Open for Science



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Publishing



OASPA Mission

- **Exchange information**
- **Set standards for OA publishing and promote best practice**
- **Support a range of business models**
- **Educate**
- **Promote innovation**



Applications

- **Fixed membership criteria - applied universally**
- **Initial screening process followed by detailed review and decision by committee**
- **Criteria and application process reviewed on a regular basis**
- **Less than 10% of applications for membership accepted**
- **Publishers/journals that can demonstrate improvements are reconsidered**



1) Fully OA publication requirements

- At least one fully open access journal or book which is CC BY or CC BY-NC
- CC BY is the *preferred* license overall (will discuss for books - and for hosted journals)
- License to be fully described in guidelines
- Clearly shown on all published material



2) 'About the Publisher'

- **Ownership**
- **Management**
- **Financial support**
- **Address & contact information for one named individual (at least)**



3) Peer Review

- **Independent external reviewing required**
- **Way in which reviewers are selected**
- **Clear policy statement describing process**
- **Owners/financial benefactors not to be involved in editorial decision making**



4) Editorial Boards

- **Large enough?**
- **Variety of institutions?**
- **Show departments/expertise/relevance**
- **Be clear on what the role of the editorial board is**



5) Finances and Fees

- **Be clear and transparent**
- **What fees are charged?**
- **If you don't charge any be clear on that too**
- **General statement on support from the institution is enough**

6) Other

- **Clear editorial policies (conflicts of interest, plagiarism etc.) - COPE**
- **Archiving / Preservation**
- **Crossref / DOIs**
- **Content quality check**



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Criteria checklist for new member applicants

Below is a checklist based on our [membership criteria](#) to help applicants make sure they meet our requirements. Please read all of these points before you go through to the application form. If you have any queries please contact memberships@oaspa.org.

Information is available [about OASPA](#), our [application review process and membership categories](#).

1. Do you have at least one open access book, or have at least one journal that regularly publishes original research or scholarship which is all open access?
2. If you are a journal publisher, do you have at least one fully open access journal which is CC BY (or CC BY-NC)?
3. Can your publications be read without the requirement for registration of any kind?
4. Does your website have clear and detailed Instructions for authors, linked to from the homepage?
5. Do your author guidelines include details of your open access and copyright policies for this publication? Do you clearly state the specific license used and link to the terms of that license? Do you specify who holds copyright?
6. Do your publications (HTML and PDF) state the license on the article or book itself, with a link to the license terms, contain author contact information and date of publication (plus submission and acceptance for

Full criteria: oaspa.org/membership/membership-criteria/



Members and Beyond


- **OASPA Members commit to a Code of Conduct**
- **Ongoing support from OASPA on developments in the industry and in complex areas e.g. licensing**
- **Transparency and clarity from publishers will help users in the research community**



Best practice in scholarly publishing

- Collaboration with allied industry organisations to promote best practice beyond OASPA membership

PEER REVIEW PROCESS













Journal content must be clearly marked as whether peer reviewed or not. Peer review is defined as obtaining advice on individual manuscripts from reviewers expert in the field who are not part of the journal's editorial staff.

The journal's website should:

- clearly describe this process, as well as any policies related to the journal's peer review procedures including the method of peer review used.

The journal's website should not:

- guarantee manuscript acceptance or very short peer review times.

WEBSITE	NAME OF JOURNAL	PEER REVIEW PROCESS	OWNERSHIP AND MANAGEMENT
 <p>The Journal's website, including the text that it contains, shall demonstrate that care has been taken to ensure high ethical and professional standards.</p> <p>It should:</p> <ul style="list-style-type: none">– contain an 'About & Scope' Statement and the readership clearly defined.– include a Statement or what a journal will accept for publication including authorship criteria (eg. not multiple, independent, independent publication)– state clearly (payments for print and electronic)It should not:– contain information that might conflict with or contradict	 <p>The Journal name shall be unique. It should not:</p> <ul style="list-style-type: none">– be one that is widely confused with another journal.– reflect potential conflict or overlap about the Journal's origin or affiliation with other journals.	 <p>Journal content must be clearly marked as whether peer reviewed or not. Peer review is defined as obtaining advice on individual manuscripts from reviewers expert in the field who are not part of the journal's editorial staff.</p> <p>The journal's website should:</p> <ul style="list-style-type: none">– clearly describe this process, as well as any policies related to the journal's peer review procedures including the method of peer review used. <p>The journal's website should not:</p> <ul style="list-style-type: none">– guarantee manuscript acceptance or very short peer review times.	 <p>Information about the ownership and/or management of a journal shall be clearly indicated on the journal's website.</p> <p>Publications should not:</p> <ul style="list-style-type: none">– be organisational or journal owned (the journal should be owned by the journal's members)– reflect about the nature of the journal's name.
		 <p>The policy for copyright shall be clearly stated in the author guidelines and the copyright notice on all published articles.</p> <p>The journal's website should:</p> <ul style="list-style-type: none">– state licensing information clearly (including terms) that be indicated on all published articles, both HTML and PDF.– if authors are allowed to publish under a Creative Commons license then any specific license requirements shall be stated. Any published or pending of local accepted content or published articles on that party responsible shall be clearly stated.	 <p>Any fees or charges that are required for manuscript processing and/or publishing materials in the journal shall be clearly stated.</p> <p>This must be:</p> <ul style="list-style-type: none">– in a place that it only for potential authors to find prior to submitting their manuscript for review.– explained to authors before they begin preparing their manuscript for submission.– if no such fees are charged that should also be stated.
		 <p>Journal should have policies on publishing ethics. These should be clearly stated on the website, and should refer to:</p> <ul style="list-style-type: none">– journal policies on authorship and contribution– how the journal will handle copyright and approval– journal policies on conflict of interest/competing interests– journal policies on data sharing and reproducibility– journal's policy on ethical oversight– journal's policy on intellectual property– journal's policy on publication ethics and consent.	 <p>The ways in which the journal and individual articles are available to readers and whether there are associated subscription or pay per view fees shall be stated.</p>
		 <p>Business models or revenue sources shall be clearly stated or otherwise indicated on the journal's website.</p> <p>For example:</p> <ul style="list-style-type: none">– author fees– subscription– advertising	 <p>Information provided about the publisher or journal if accepted</p>





Assessing journal quality

- Cross-industry campaign
- Global interest and usage
- Translated into over 30 languages
- Working on testing usability



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