



# **OASPA and Open Access**

**Guiding a diverse community to a shared end goal**

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# About Me



Image Credit: [Nicole R Fuller - Life's Biodiversity](#) (CC BY-NC-ND 4.0)



**Once upon a time...**



## In the beginning

- Formed by 10 organisations (A mix of professional and scholar-led publishers, with support from SPARC Europe)
- Original focus was on STM journals
- Books were added (and hence AHSS disciplines)
- More 'Non-publishing' organisations joined
- Mission was to represent this new mode of publishing

## Mission and Purpose

The mission of OASPA is to support and represent the interests of Open Access (OA) journal publishers globally in all scientific, technical, and scholarly disciplines. To accomplish its mission, the Association will:

- ▶ **Exchange Information** - Provide a forum for the exchange of information and experiences related to OA delivery of scientific content.
- ▶ **Set Standards** - Promote a uniform definition of OA publishing, best practices for maintaining and disseminating OA scholarly communications, and ethical standards.
- ▶ **Advance Models** - Support the development of business and publishing models that support OA journal publishing.
- ▶ **Advocate for Gold<sup>1</sup> OA** - Promote Gold OA journals, and policies that support their viability.
- ▶ **Educate** - Educate the research community and public on the benefits of OA journals, on the value publishers bring to the publication process and on various policies that enhance and support the delivery of OA publications.
- ▶ **Promote Innovation** - Contribute to the development and dissemination of innovative approaches to scientific communications pertaining to OA and of related activities that leverage the opportunities afforded by OA to scholarly content.

<sup>1</sup>Gold OA refers to implementing the free and open dissemination of original scholarship by publishers, as opposed to Green OA, in which free and open dissemination is achieved by archiving and making freely available copies of scholarly publications that may or may not have been previously published.



## Delivering on the mission

- Exchanging information
- Setting standards
- Advancing models
- Advocacy
- Education
- Promoting innovation



## Commitment to high standards

- Fixed membership criteria - applied universally
- Initial screening process followed by detailed review and decision by committee
- Criteria and application process reviewed on a regular basis
- Less than 5% of applications for membership accepted
- Publishers/journals that can demonstrate improvements are reconsidered





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CONFERENCE

MEMBERSHIP

OPEN ACCESS EVENTS

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## Criteria checklist for new member applicants

Below is a checklist based on our [membership criteria](#) to help applicants make sure they meet our requirements. Please read all of these points before you go through to the application form. If you have any queries please contact [memberships@oaspa.org](mailto:memberships@oaspa.org).

Information is available [about OASPA](#), our [application review process and membership categories](#).

1. Do you have at least one open access book, or have at least one journal that regularly publishes original research or scholarship which is all open access?
2. If you are a journal publisher, do you have at least one fully open access journal which is CC BY (or CC BY-NC)?
3. Can your publications be read without the requirement for registration of any kind?
4. Does your website have clear and detailed Instructions for authors, linked to from the homepage?
5. Do your author guidelines include details of your open access and copyright policies for this publication? Do you clearly state the specific license used and link to the terms of that license? Do you specify who holds copyright?
6. Do your publications (HTML and PDF) state the license on the article or book itself, with a link to the license terms, contain author contact information and date of publication (plus submission and acceptance for

**Details at:** [oaspa.org/membership/membership-applications/](https://oaspa.org/membership/membership-applications/)



# Members commit to a Code of Conduct

## Statement on Open Access

The members of OASPA agree that OA publishing is now an established part of the publishing landscape. Members are committed to ensuring the highest standards of conduct among all OA publishers, and to encouraging the broader adoption of OA publishing models in all fields of research and scholarship. Members of OASPA acknowledge a common interpretation of Open Access publishing that includes the following components:

- The dissemination of peer-reviewed manuscripts containing original research or scholarship immediately upon publication, at no charge to user groups, without requiring registration or other access barriers.
- Copyright holders allow users to “copy, use, distribute, transmit and display the work publicly and to make and distribute derivative works, in any digital medium for any responsible purpose, subject to proper attribution of authorship...” in the case of journals.

Whilst the CC-BY license is most compatible with the above definition of open-access and is strongly encouraged by OASPA, our membership criteria for journals publishers permit the use of the non-commercial restriction where there are compelling reasons to do so. Books publishers who are members of OASPA shall also strive to adhere as much as possible to the reuse principles above . OASPA recognises, however, that in some fields (e.g. Art History) the application of the most liberal licenses may be difficult and as such other licensing practices may be considered acceptable.



Choose the right journal or publisher for your research



Are you submitting your research to a trusted journal?  
Is it the right journal for your work?




Use our [check list](#) to assess the journal



Only if you can answer 'yes' to the questions on our [check list](#)

### PEER REVIEW PROCESS



Journal content must be clearly marked as whether peer reviewed or not. Peer review is defined as obtaining advice on individual manuscripts from reviewers expert in the field who are not part of the journal's editorial staff.

The journal's website should:

- clearly describe this process, as well as any policies related to the journal's peer review procedures including the method of peer review used.

The journal's website should not:

- guarantee manuscript acceptance or very short peer review times.

WEBSITE	NAME OF JOURNAL	PEER REVIEW PROCESS	OWNERSHIP AND MANAGEMENT
 <p>Journal's website, including the fact that it contains, shall demonstrate that you have been taken to assess high ethical and professional standards. It should: – contain an 'About Us' page/Statement and the ownership clearly defined. – include a Statement on what a journal will/cannot publish (including authorship criteria e.g. not multiple submitted, subsequent publications). – state all pages clearly (depends on print and electronic). It must not: – contain information that might reflect poorly on authors.</p>	 <p>The Journal must state the unique ID number: – so you that it easily identified with another journal. – reflect potential without and reflect about the Journal's origin or collaboration with other journals.</p>	 <p>Journal content must be clearly marked as whether peer reviewed or not. Peer review is defined as obtaining advice on individual manuscripts from reviewers expert in the field who are not part of the journal's editorial staff. The journal's website should: – clearly describe this process, as well as any policies related to the journal's peer review procedures including the method of peer review used. The journal's website should not: – guarantee manuscript acceptance or very short peer review times.</p>	 <p>Information about the ownership and/or management of a journal shall be clearly indicated on the journal's website. Publishers should not: – be organizational or journal owned, that could reflect potential conflict and reflect about the nature of the journal's content.</p>
 <p>Journals shall provide the full names and addresses of the journal's editors on the journal website as well as contact information for the editorial office, including a full address.</p>	 <p>The policy for copyright shall be clearly stated on the journal website and the copyright holder named on all published articles. The journal's website should: – state licensing information clearly (distributed or published). Licensing/permissions shall be indicated on all published articles, both HTML and PDF. If authors are allowed to publish under a Creative Commons license then any specific license requirements shall be stated. Any published or pending, of final accepted/accepted or published articles on that party application shall be clearly stated.</p>	 <p>Any fees or charges that are required for manuscript processing and/or publishing materials on the journal shall be clearly stated. This must be: – in a place that it only for potential authors to find prior to submitting their manuscripts for review. OR – explained in sufficient detail they begin preparing their manuscripts for submission. – if no such fees are charged that should still be stated.</p>	
 <p>Journal shall have policies on publishing ethics. These should be clearly visible on its website, and should refer to: – journal published on authorship and credit. – how the journal handles plagiarism. – journal's policy on peer review. – journal's policy on conflicts of interest. – journal's policy on data sharing. – journal's policy on corrections. – journal's policy on retraction.</p>	 <p>The periodicity at which a journal publishes shall be clearly indicated.</p>	 <p>The ways in which the journal and individual articles are available to readers and whether there are associated subscription or pay per view fees shall be stated.</p>	
 <p>Revenue models or revenue sources shall be clearly stated or otherwise indicated on the journal's website. For example: – author fees – subscription – advertising</p>	 <p>Journal's policy of the: – what to publish – who can publish – how to publish – how to cite – how to use – how to use – how to use – how to use</p>	 <p>Information provided about the publisher or journal, if expected</p>	



[https://publicationethics.org/files/COPE\\_Principles\\_of\\_Transparency\\_Poster.pdf](https://publicationethics.org/files/COPE_Principles_of_Transparency_Poster.pdf)  
Ctrl + Click to follow link





# OASPA 2.0



## The updated mission

### Mission

Representing a community of scholarly publishers and related organisations, OASPA is committed to developing and disseminating solutions that advance open access, preserve the integrity of scholarship, and promote best practice. OASPA is a trusted convenor of open access stakeholders and a venue for productive collaboration. One of the core goals of OASPA is to support the transition to a world in which open access becomes the predominant model of publication for scholarly outputs. Another core goal of OASPA is to help ensure a diverse, vibrant, and healthy open access market that supports a wide variety of innovative solutions and business models.



# The updated mission

- **Leadership and Development** – Providing leadership in the open access space through activities that create awareness of the benefits of OA publishing, promote the value publishers bring to the publication process, and highlight the various policies that enhance and support OA publications.
- **Productive Collaboration** – Convening stakeholders within the community to discuss problems and opportunities within OA publishing and to share experiences.
- **Setting Standards** – Promoting best practice and ethical standards to preserve the integrity of scholarship.
- **Promoting Innovation** – Contributing to the development and dissemination of innovative approaches to scholarly publishing and the related opportunities that OA content allows.
- **Supporting the OA Market** – Promoting the development of diverse business models and policies that support pure OA publishing, and will sustain a vibrant and competitive market for pure OA publishing in the longer term.

## Mission old vs new – what has changed?

- Exchanging information
- Setting standards
- Advancing models
- Advocacy
- Education
- Promoting innovation



- Leadership in the open access space
- Productive collaboration
- Setting standards to preserve the integrity of scholarship
- Promoting innovation
- Supporting a healthy & diverse OA market



## OASPA 2.0

- **Understanding of where we are and how we got here**
- **Diverse membership is a reflection of the landscape**
- **Values of OASPA: sharing experiences, welcoming others to the discussion**





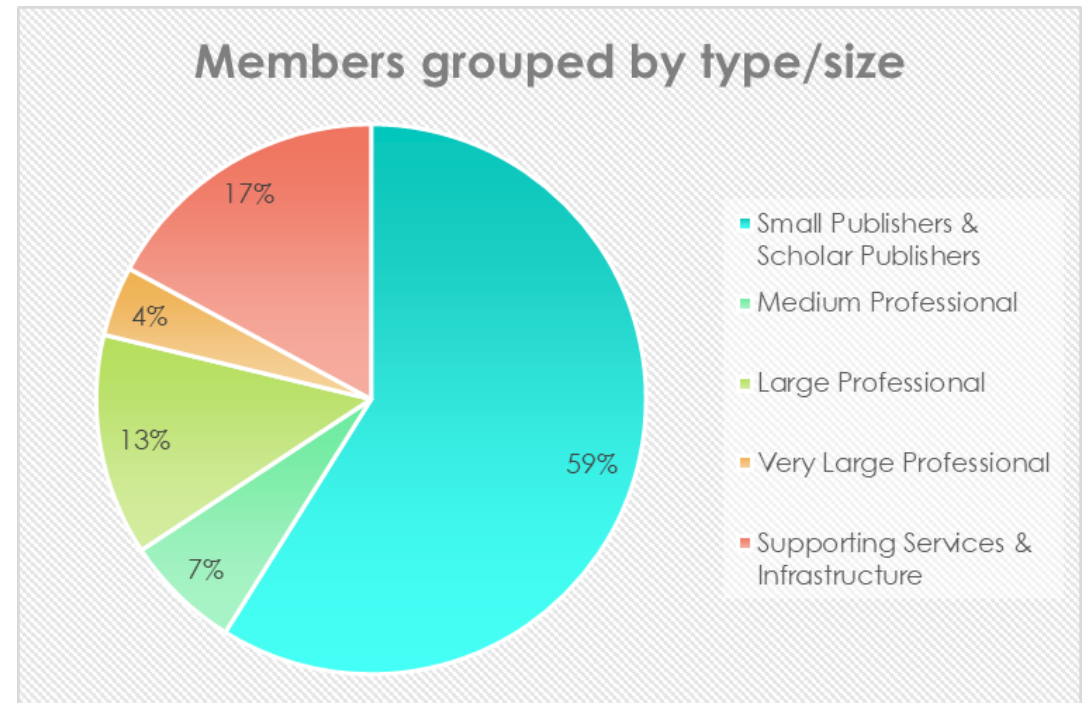
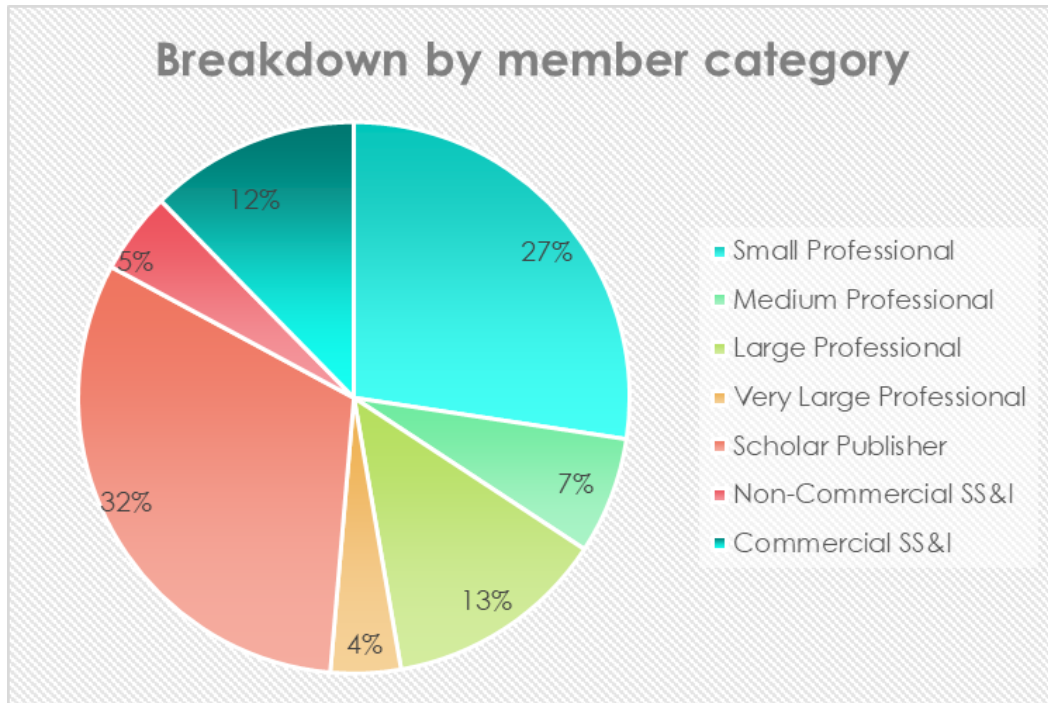
## Who we are

### 147 members:

- Scholar Publishers – journals run on volunteer effort by academics.
- Professional Publishers – at least one paid staff member. Range from small to very large organisations.
- Supporting Services & Infrastructure – commercial and non-commercial.



# Membership breakdown



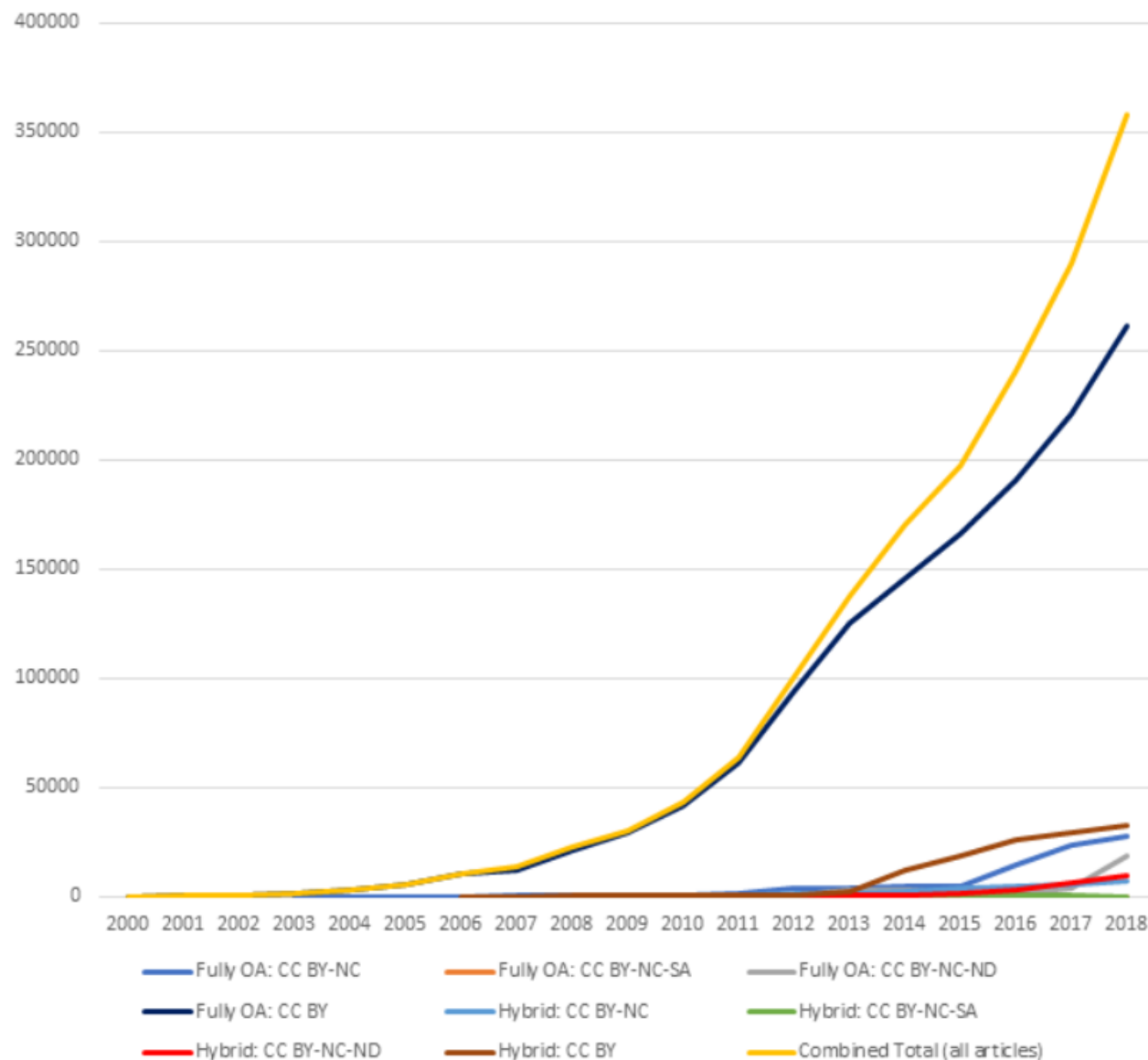
List of members at: <https://oaspa.org/membership/members/>



## Annual Reporting:

- The vast majority of content published by OASPA Members is Fully OA and CC BY
- We see year-on-year growth in output

Chart 1: Open Access Articles Published by OASPA Members:  
Different CC licenses in fully OA and hybrid journals





## Community Activities

- **Annual Conference on Open Access Scholarly Publishing**
  - Recent topics include: Community-governed infrastructure; Open Access books; Emerging research into open access; Research Culture; Global Inequity
  - All sessions recorded - <https://oaspavideos.org/>
- **Open Scholarship webinar series**
  - Free to join
  - Recordings shared afterwards:  
<https://oaspa.org/information-resources/oaspa-webinars/>



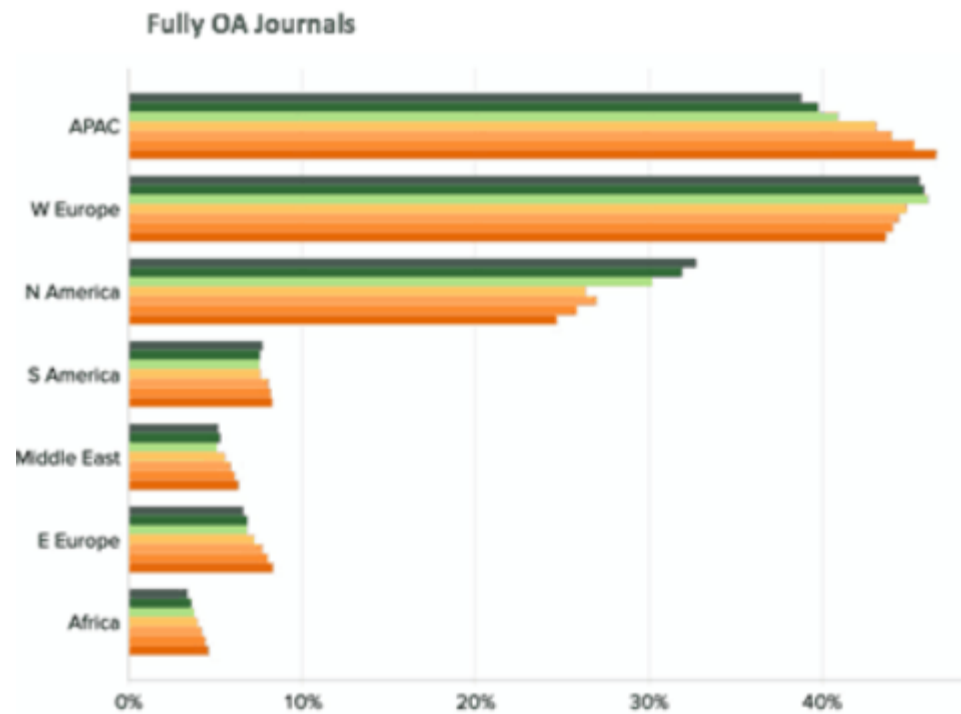
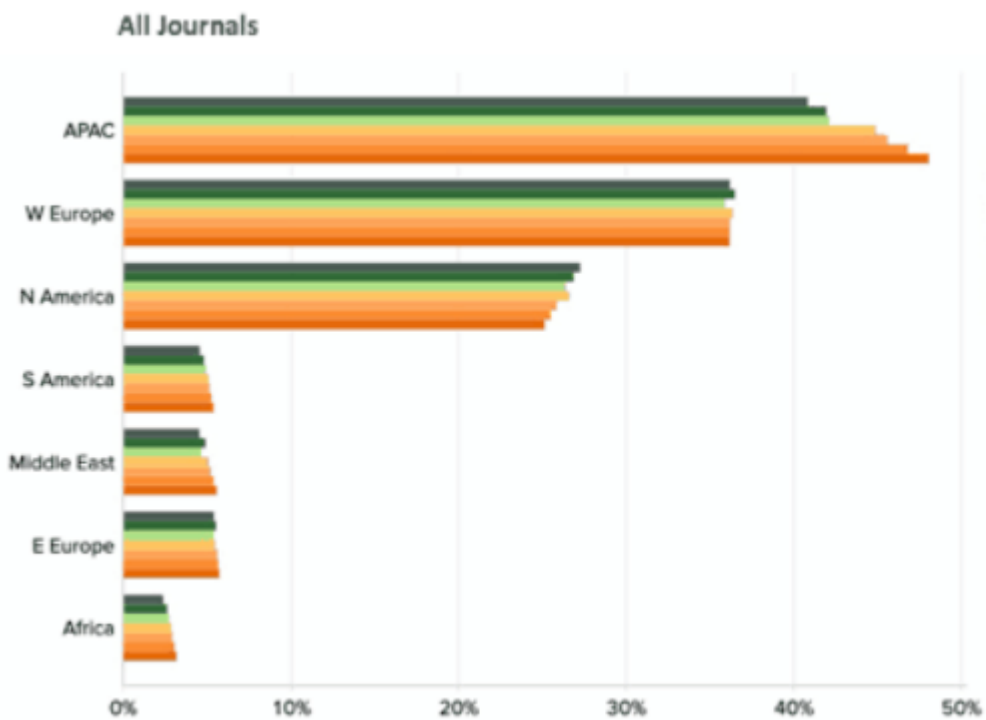
# Global Outlook



# **Trends in Open Access**



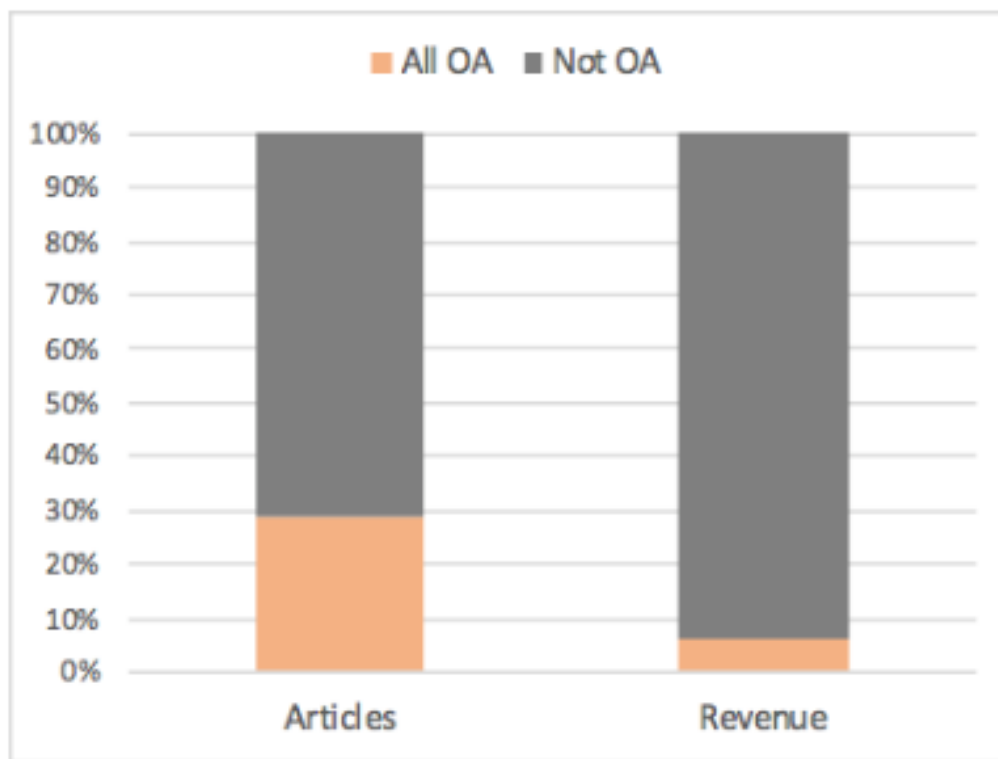
# Annual Trends in Global Authorship by Region





- In 2017, the global OA market was worth around USD \$588m and grew to around \$675m in 2018
- Expected to be over \$750m for 2019
- Just under 30% of global output is open access – excluding green and public access (aka “bronze”).

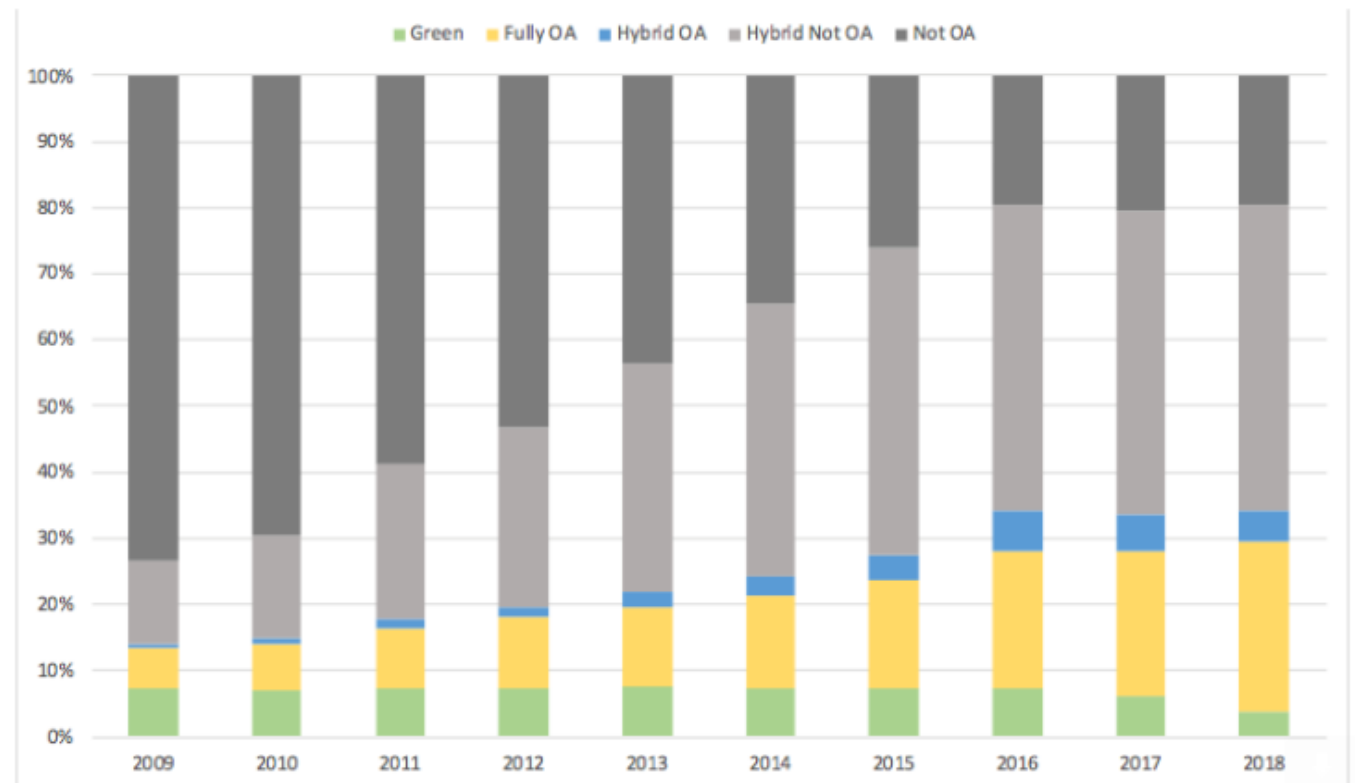
## Comparison of OA article share and revenue share 2018





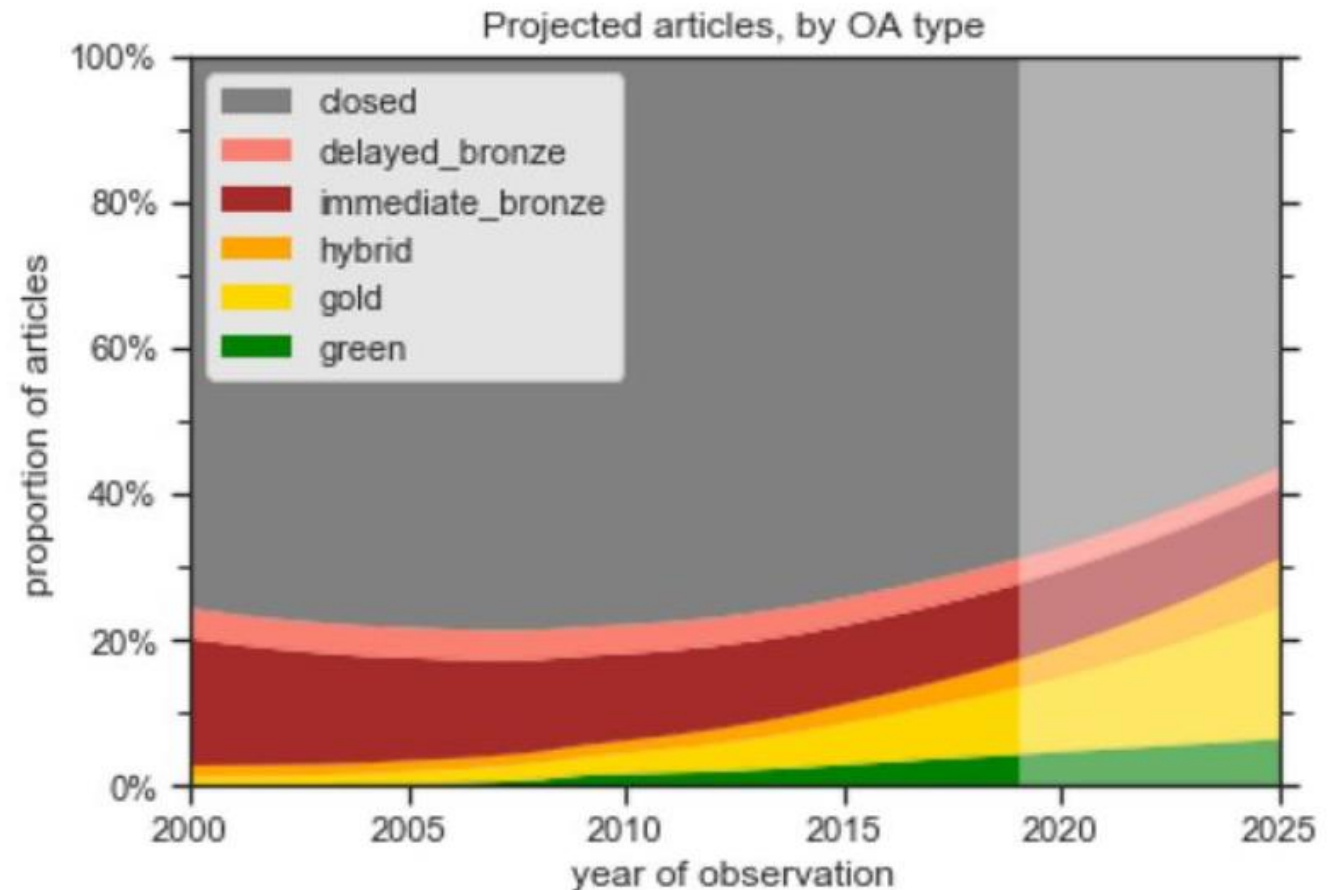
## A closer look at Full OA and hybrid

- Hybrid growth does appear to be slowing *as a proportion* – in absolute terms it is growing
- Will see if funder mandates from 2021 start to push the percentage back down



## Estimating OA output in the future

- Continued growth of OA estimated to remain slow
- Unpaywall data projects we will only be at just 30% of 'true OA' in 5 years - and that includes articles within the subscription system
- Again, funder mandates may affect this growth



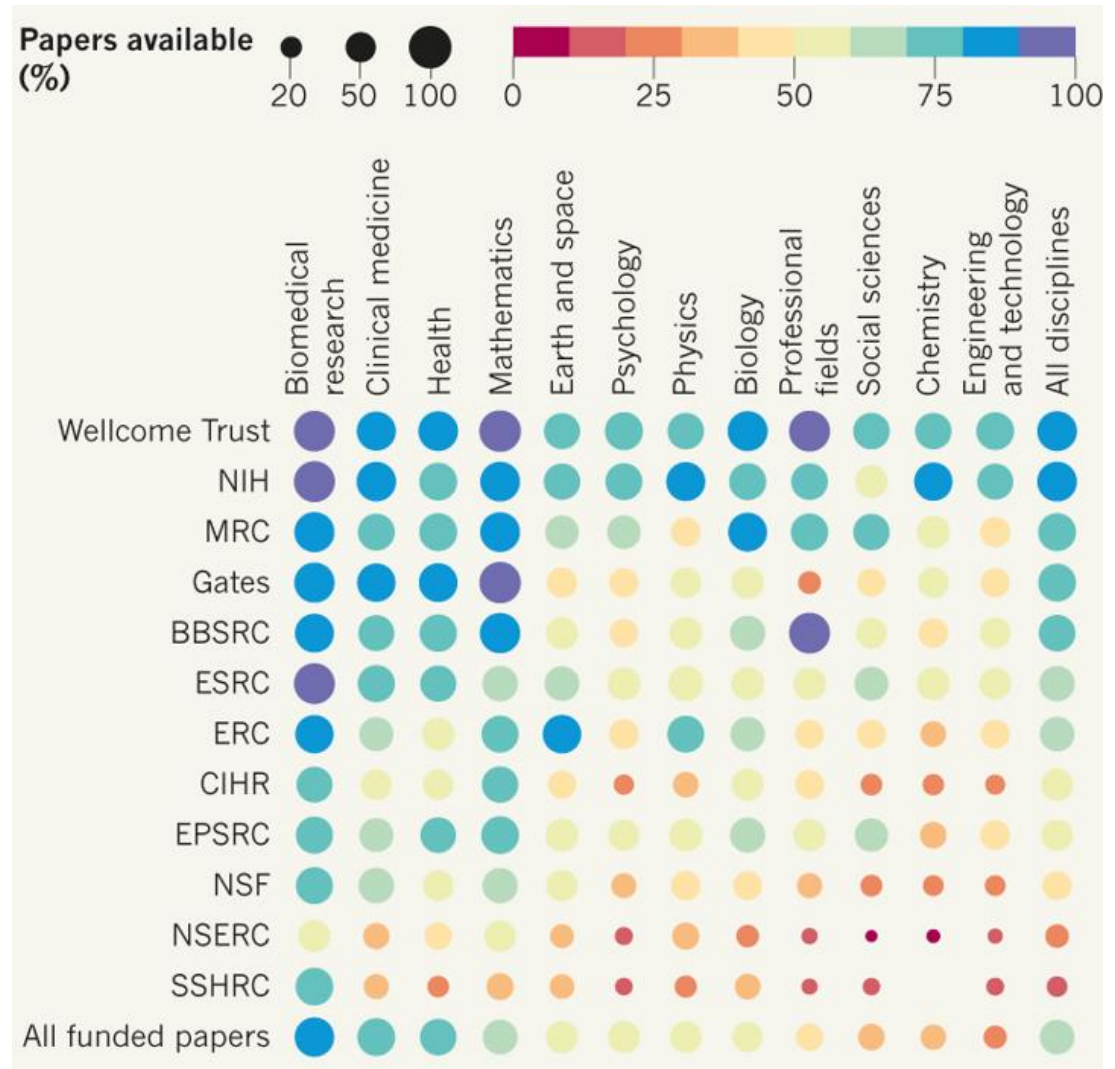


# Policy

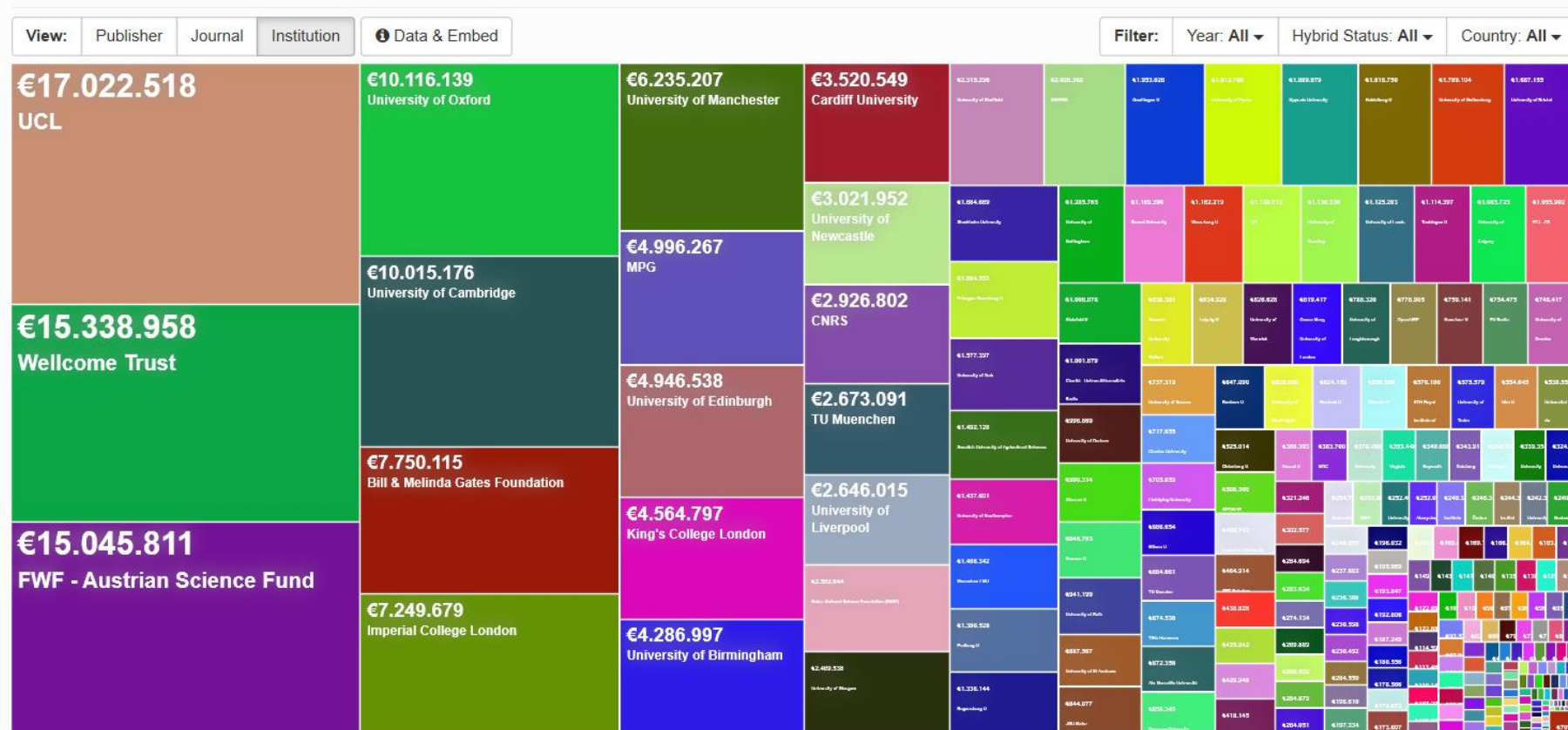
- National policies in Europe
- UKRI
- European Commission ([Horizon Europe](#))
- Plan S and private funders e.g. [Wellcome Trust](#)
- China
- US

## Why is policy important?

- It's a key driver of OA
- Monitoring for compliance increases effectiveness
- Funders discussed their approaches at the OASPA conference

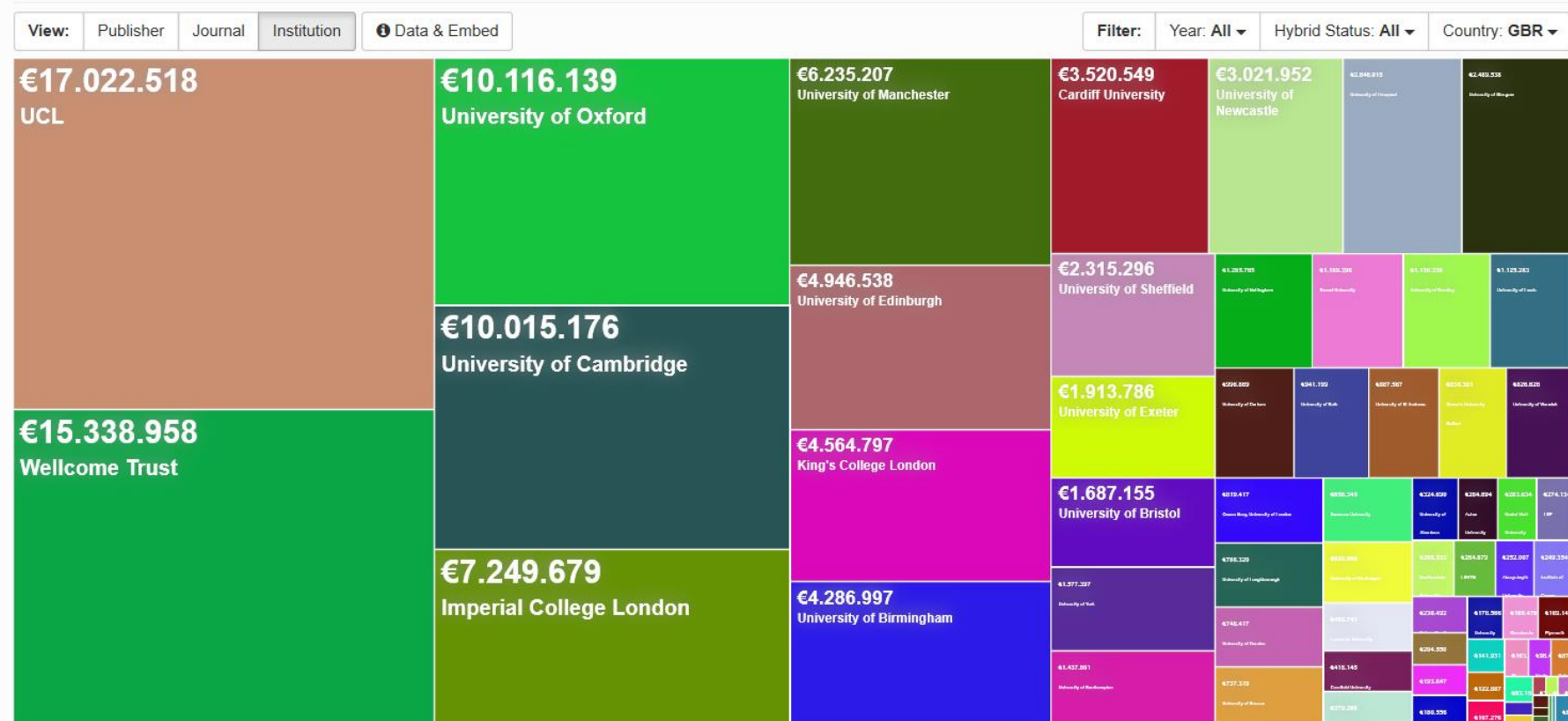


## COMBINED (COST DATA FROM OPENAPC AND TRANSFORMATIVE AGREEMENTS DATA SETS)



Data for 'All Institutions' (mostly European)

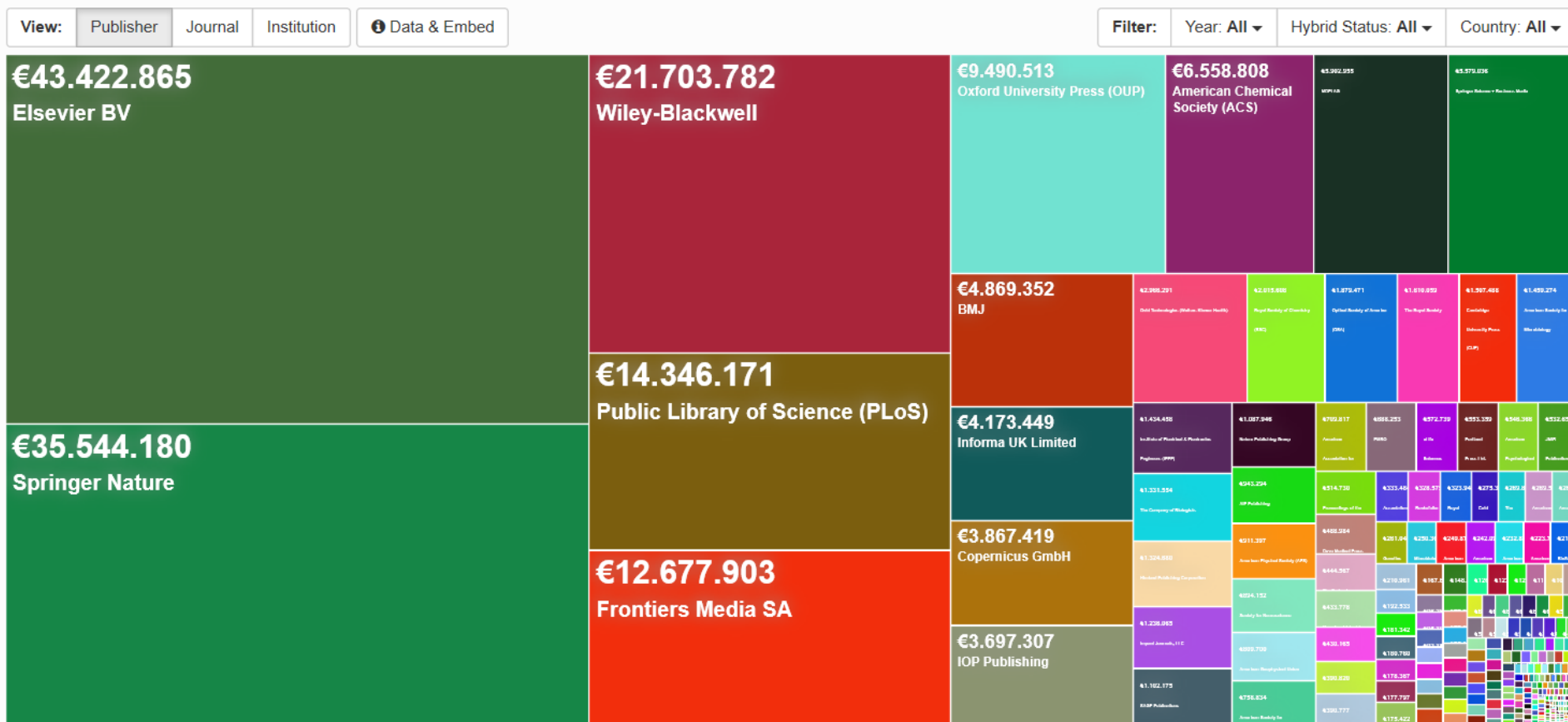
## COMBINED (COST DATA FROM OPENAPC AND TRANSFORMATIVE AGREEMENTS DATA SETS)



Data for UK Institutions

# Managing Complexity

COMBINED (COST DATA FROM OPENAPC AND TRANSFORMATIVE AGREEMENTS DATA SETS)



Data for All Countries (mostly European) by Publisher





- Addresses practical challenges of OA shared by institutions, funders and publishers
- Open source, community-governed infrastructure *‘to facilitate the fulfilment of open access strategies across business models, policies and agreements’*
- Message hub (not a payment solution – will integrate with existing providers)
- Additional benefit: improved metadata in the system
- Tech partner contracted to build MVP and run Pilot
- More info at <https://www.oaswitchboard.org/>



# **The big picture**



## The Big Picture

- **How we get to our end goal is important**
- **Diversity matters**
- **Open access is a stepping stone**
- **Funders are playing a key role**
- **Institutional practices and funder policies need to work in harmony**



# Questions?

Keep up to date:

<https://oaspa.org/> or [OASPA News](#)

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**@OASPA**